Alabama Small Business Development Center Network

Strengthening Alabama's Economy, One Small Business at a Time.

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Fraud Detection and Prevention Workshops

January 10 - February 7, 2014 (5 Fridays)

Fraud Detection and Prevention workshops are being offered by UNA Continuing Studies and Outreach, approved as a registered sponsor on the National Registry of CPE Sponsors. Each course is approved for 4 CPE hours. Attend all 10 courses and earn a 40-hour UNA Certificate in Forensic Accounting. Download brochure for complete course descriptions and registration form.

Register online at www.una.edu/continuing-studies, select Courses, then select Forensic Accounting under the Accounting/CPE category. Register online or call 256-765-4862 to register by phone. Most major credit cards and company purchase orders accepted.

Cost: $159 per course (includes materials, certificate of attendance)
Location: Baldwin County Commission/Baldwin County Central Annex, 22251 Palmer Street, Robertsdale, AL 36567
Instructor: Tommie Singleton, Ph.D., CPA, CISA, CITP, CFF, CGEIT
4 A&A CPE Hours Each Workshop

INTRODUCTION TO FRAUD & FORENSIC ACCOUNTING
Course No. #1486: January 10, 2014 * 8:00 am - 12:00 pm

This is an introductory seminar on the basic concepts, facts, and principles regarding fraud. This seminar includes: definitions, prevalence of fraud in our society, the fraud triangle, the ACFE Fraud Tree, summary of research on fraud, profile of perpetrators, and basic axioms of fraud. This seminar will help participants to have a basic understanding of fraud, why fraud is committed, how prevalent frauds are in the U.S. economy, and who commits frauds. Participants should be able to develop a better mindset regarding fraud.

INTRODUCTION TO FRAUD EXAMINATION/ INVESTIGATION
Course No. #1487: January 10, 2014 * 1:00 pm - 5:00 pm

Fraud examination is a methodology for resolving fraud allegations, which begins with fraud detection or fraud audits, but also encompasses obtaining and analyzing evidence (including non-financial evidence), effective interviews, taking statements, writing reports, analyzing evidence and findings, providing litigation support, and serving as an expert witness. This seminar will provide attendees with some basic knowledge and skills to conduct effective fraud investigations.

INTRODUCTION TO FRAUD DETECTION & PREVENTION
Course No. #1488: January 17, 2014 * 8:00 am - 12:00 pm

Fraud examination is a methodology for resolving fraud allegations, which begins with fraud detection or fraud audits, but also encompasses obtaining and analyzing evidence (including non-financial evidence), taking statements, writing reports, reporting findings, and providing litigation support. This seminar will provide attendees with some basic knowledge and skills to conduct effective asset-theft fraud investigations.

RESPONDING TO FRAUD INCIDENTS
Course No. #1489: January 17, 2014 * 1:00 pm - 5:00 pm

An entity's response to a fraud committed against it should not be an ad hoc function. Instead, every entity should develop a response plan to fraud BEFORE one is committed, while key people are calm and collected. A response plan should begin with a risk assessment. By studying fraud concepts, fraud research, and one's own organization, he/she will be effective in developing any risk assessment. Then the entity should develop appropriate responses related to public image, law enforcement, customers, innocent employees, and other constituents.

SOCIOLOGICAL, PSYCHOLOGICAL & PHYSIOLOGICAL CHARACTERISTICS OF A FRAUDSTER
Course No. #1490: January 24, 2014 * 8:00 am - 12:00 pm

Much about fraud is associated with sociology and psychology. Fraudsters do have some tendencies regarding their social behaviors, mental makeup, and physiological traits (e.g., handwriting). This seminar explores the research of sociologists and others associated with criminals, liars, and fraudsters. Topics include body language, eye language, discourse analysis, typical social/mental profile, and other physiological aspects of a fraudster.

TOP 10 FRAUD SCHEMES
Course No. #1491: January 24, 2014 * 1:00 pm - 5:00 pm

This course takes a micro look at fraud by examining the top 10 most common fraud schemes. Effective methods for detecting, investigating, and preventing such schemes will also be presented and discussed. Case studies and/or examples will be used throughout the seminar to illustrate and reinforce the concepts being taught.

HOW TO DESIGN EFFECTIVE ANTI-FRAUD INTERNAL CONTROLS
Course No. #1492: January 31, 2014 * 8:00 am - 12:00 pm

The most common type of fraud is Asset Misappropriation, perpetrated by employees. The reason employees are able to commit fraud is almost always related to weak or missing internal controls. The purpose of this seminar is to present the importance of internal controls, and to develop models and methods to design, implement, and monitor internal controls more effectively to prevent and detect fraud. One key is to gain an understanding of how and why anti-fraud controls are different from traditional accounting controls.

COMPUTER CRIMES, INVESTIGATION & DIGITAL EVIDENCE
Course No. #1493: January 31, 2014 * 1:00 pm - 5:00 pm

Computers and technology tools are extremely valuable in detecting and preventing fraud. This seminar will present some of the risks associated with fraudsters who use computers and technologies. It also provides information about how to properly gather digital evidence. The purpose of this seminar is to provide attendees with a better understanding of how fraudsters use computers and technology to perpetrate frauds, and the types of computer crimes common in the business community today, and how to properly handle digital evidence.

USING IT TO DETECT FRAUD: DATA MINING FOR FRAUD
Course No. #1494: February 7, 2014 * 8:00 am - 12:00 pm

Technology tools can be extremely valuable in detecting and preventing fraud. This seminar will present the usefulness of certain technologies, such as data mining, in detecting and preventing fraud. The purpose of this seminar is to provide attendees with a better set of skills, knowledge and abilities related to the use of IT tools in anti-fraud programs, audits, and activities.

ANATOMY OF A FRAUD
Course No. #1495: February 7, 2014 * 1:00 pm - 5:00 pm

This course summarizes Introduction to Fraud and Forensic Accounting, Introduction to Fraud Examination/Investigation, Introduction to Fraud Detection and Prevention, Responding to Fraud Incidents, Top 20 Frauds, How to Design Effective Fraud Controls, and Data Mining for Fraud. There are 13 identifiable steps in a fraud from conception to court disposition (if it goes that far), as stipulated in "Fraud Auditing and Forensic Accounting" by T. Singleton, A. Singleton, J. Bologna, and R. Lindquist (Wiley: 2006). This seminar takes participants through a single real life fraud case, dissecting it across those 13 steps to follow the evolution and disposition of a typical fraud.